



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Yves Louis Gabriel AUDEBERT, et al. Art Unit: 2131
Application No.: 09/844,272
Filed: April 30, 2001
For: METHOD AND SYSTEM FOR REMOTE MANAGEMENT OF PERSONAL
SECURITY DEVICES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, DC 20231

Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the art listed on the attached PTO Forms 1449. These Forms PTO 1449 bring to the attention of the Examiner the art cited in the applications listed in the Notice of Co-Pending Related Applications filed concurrently herewith.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

James E. Ledbetter
Registration No. 28,732

Date: November 16, 2004

JEL/ejw
ATTORNEY DOCKET NO. L741.01103
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Y. L. G. AUDEBERT, et al. Art Unit: 2131

Application No.: 09/844,272

Filed: April 30, 2001

For: METHOD AND SYSTEM FOR REMOTE MANAGEMENT OF
PERSONAL SECURITY DEVICES

NOTICE OF CO-PENDING RELATED APPLICATIONS
PURSUANT TO 37 CFR §1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

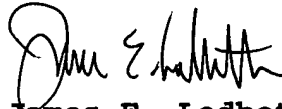
Pursuant to 37 CFR §1.56 and MPEP 2001.06(b), the applicants hereby call to the attention of the Patent and Trademark Office the following applications which may be deemed to be co-pending related applications to the present application:

- (1) 10/476,329;
- (2) 09/844,246;
- (3) 09/844,439;
- (4) 10/476,416; and
- (5) 10/476,316.

It is requested that the prior art references from each application be reviewed by the examiner and made of record in the present application. To assist the examiner, submitted herewith is an Information Disclosure Statement directed to making the art

currently of record in each of the above-listed cases also of record in the present application.

Respectfully submitted,

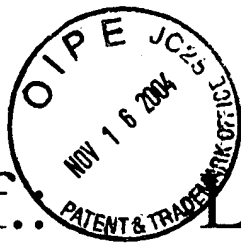


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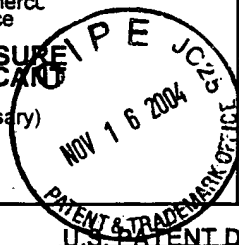


Our Ref.: L741.03108
Serial No.: 10/476,329

Information Disclosure Statement of May 5, 2004

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark OfficeINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

L741.01103

SERIAL NO.

09/844,272

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

GROUP

2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	5 9 9 1 4 0 7	11/1999	Murto			
	6 2 7 9 0 4 7	08/2001	Bublitz et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
9 8 5 2 1 6 1	11/1998	WO				
0 1 5 9 7 3 0	08/2001	WO				
0 9 1 1 7 7 2	04/1999	EP				
1 9 5 2 2 5 2 7	01/1997	DE				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
	Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
	Chen, Zhiquan, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
	Chen, Zhiquan et al., "Understanding Java Card 2.0," Javaworld, March 1998.
	Posey, Brien, "Using Smart Cards with Windows 2000," TechCrawler.com, September 28, 2000.
	International Search Report dated August 23, 2002.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Our Ref.: L741.01101

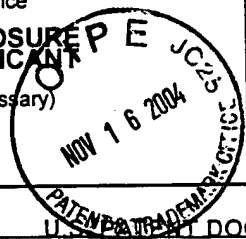
Serial No.: 09/844,246



Information Disclosure Statement of October 18, 2001

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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ATTY. DOCKET NO.

L741.01103

SERIAL NO.

09/844,272

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

GROUP

2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 0 0 5 9 4 2	12/1999	Chan et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

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	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
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	Chen, Zhiquan, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
	Chen, Zhiquan et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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Our Ref. 1741.01102
Serial No.: 09/844,439



Information Disclosure Statement of January 17, 2003

ATTY. DOCKET NO.

L741.01103

SERIAL NO.

09/844.272

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

DATE
April 30, 2001

GROUP

2131

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

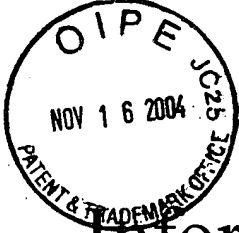
T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," *Computer*, IEEE Computer Society, Long Beach, CA, US, vol. 33, no. 10, October 1, 2000, XP 001001747, ISBN: 0018-9162, pp. 54-60.

EXAMINER

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Serial No.: 10/476,316

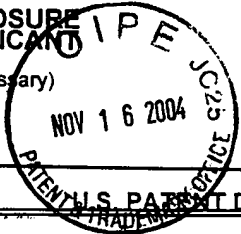


Information Disclosure Statement
of May 7, 2004

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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ATTY. DOCKET NO.

L741.01103

SERIAL NO.

09/844,272

APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

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2131

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	6 1 0 1 2 5 4	08/2000	Thiriet			
✓	6 1 3 1 8 1 1	10/2000	Gangi			
✓	6 0 0 5 9 4 2	12/1999	Chan et al.			
✓	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			
✓	5 7 7 8 0 7 1	07/1998	Caputo et al.			
✓	2002 0 2 5 0 4 6	02/2002	Lin			
✓	5 9 9 1 4 0 7	11/1999	Murto			

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					YES NO
9 9 6 2 2 1 0	12/1999	WO			
0 1 2 2 3 7 3	03/2001	WO			
0 1 1 6 9 0 0	03/2001	WO			

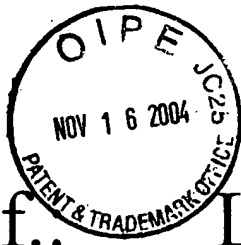
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
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Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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Our Ref.. L741.02102

Serial No.: 10/085,127

Information Disclosure Statement of May 23, 2002

Our Ref.: L741.01107
Serial No.: 09/985,344



Information Disclosure Statement of May 21, 2003

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

SERIAL NO.

L741.01103

09/844,272

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APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

April 30, 2001

GROUP

2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 0 1 8 7 7 9	01/2000	Blumenau			
	6 1 2 8 3 3 8	10/2000	Behaghel et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
0 9 2 3 2 1 1	06/1999	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report dated April 23, 2003.
	European Telecommunications Standards Institute: "Digital Cellular Telecommunications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2: (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification.
	"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021.

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